

FORM PTO-1390  
(REV 12-97)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

DATE:

November 5, 2001

ATTORNEY'S DOCKET NUMBER  
54846US002

U.S. APPLICATION NO.  
(if known, see 37 C.F.R. 1.51) **16/009928**

INTERNATIONAL APPLICATION NO.  
PCT/US99/09945 ✓

INTERNATIONAL FILING DATE  
05/05/1999 ✓

PRIORITY DATE CLAIMED

TITLE OF INVENTION

SILICONE ADHESIVES, ARTICLES AND METHODS ✓

APPLICANT(S) FOR DO/EO/US

KO, John H.; MELANCON, Kurt C.; and SCHULZ, Anita L.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39 (1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19<sup>th</sup> month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
  - ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - ☐ has been transmitted by the International Bureau.
  - ☒ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
  - ☐ is attached hereto
  - ☐ Has been previously submitted under 35 U.S.C. 154(d)(4)
7. ☒ Amendment to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - ☐ have been transmitted by the International Bureau.
  - ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ Other items or information:

**Certificate of Express Mailing**

Under 37 CFR 1.10, I certify that this entry of a PCT application into US National Stage is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service addressed to the Commissioner for Patents, Washington, DC 20231, on the date indicated below.

Express Mail Mailing Label No.

**EE318447313US**

Date of Deposit

November 5, 2001

Signature of Person Mailing Application

*Patricia Parks*

Printed Name of Person Mailing Application

Patricia Parks

JC05 Rec'd PCT/PTO 05 DEC 2001

U.S. APPLICATION NO. 107/009928 C.F.R. 1.5)		INTERNATIONAL APPLICATION NO.: PCT/US99/09945		ATTORNEY'S DOCKET NUMBER 54846US002	
17. <input checked="" type="checkbox"/> The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5)):				Calculations	PTO Use Only
<input checked="" type="checkbox"/> Search Report has been prepared by the EPO or JPO.....\$890 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) ...\$710 <input type="checkbox"/> No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445 (a)(2)) .....\$740 <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$1,040 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....\$100					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 890	
Surcharge of \$ 130 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
Claims	Number Filed	Number Extra	Rate		
Total Claims	34 -20 =	14	x \$ 18	\$ 252	
Independent Claims	4 -3=	= 1	x \$ 84	\$ 84	
MULTIPLE DEPENDENT CLAIM(S) (IF APPLICABLE)				x \$ 280	\$ 0
TOTAL OF ABOVE CALCULATION =				\$ 1,226	
Reduction by 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28)				\$	
SUB TOTAL =				\$ 1,26	
Processing fee of \$ 130 for furnishing the English translation later the <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest priority date (37 CFR 1.492(f)).				\$ 0	
TOTAL NATIONAL FEE =				\$ 1,226	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 0	
TOTAL FEES ENCLOSED =				\$ 1,226	
				Amount to be refunded	\$
				charged	\$ 1,226
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 13-3723 in the amount of \$ 1,226 to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-3723. A duplicate copy of this sheet is enclosed NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					

Send All Correspondence To:

Signature

Name:

Arthur J. Brady

Registration Number:

42,356

Address:

Office of Intellectual Property Counsel  
 3M Innovative Properties Company  
 P.O. Box 33427  
 St. Paul, Minnesota 55133-3427